

Docket No. 278873US0PCT



12/4 Rec'd PCT/PTO 10 JAN 2006  
MAIL STOP PCT

PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Junichiro UDA, et al.

SERIAL NO: 10/553,034

GAU:

FILED: October 11, 2005

EXAMINER:

FOR: BENZÖXEPINO-11-PIPERIDYLIDENE COMPOUNDS AND PROCESS FOR PRODUCTION THEREOF

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 278873US0PCT			SERIAL NO. 10/553,034		
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Junichiro UDA, et al.					
				FILING DATE October 11, 2005			GROUP		
<b>U.S. PATENT DOCUMENTS</b>									
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE		
	AA								
	AB								
	AC								
	AD								
	AE								
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	AG								
	AH								
	AI								
	AJ								
	AK								
	AL								
	AM								
	AN								
<b>FOREIGN PATENT DOCUMENTS</b>									
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES                      NO				
	AO	9-122451	05/13/97	JP(with English abstract)		NO			
	AP	6-192263	07/12/94	JP(with English abstract, equivalent of US 5334594)		NO			
	AQ	2002-338574	11/27/02	JP(with English abstract)		NO			
	AR								
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>									
	AS	Nobuhiko IWASAKI et al., "Amphoteric Drugs. 3. Synthesis and Antiallergic Activity of 3-[(5,11-Dihydro[1]benzoxepino[4,3-b]pyridin-11-ylidene)piperidino]propionic Acid Derivatives and Related Compounds", JOURNAL OF MEDICINAL CHEMISTRY, vol. 38, no. 3, pages 496-507 1995							
	AT	John E. MCMURRY, "Carbonyl-Coupling Reactions Using Low-Valent Titanium", CHEMICAL REVIEWS, vol. 89, no. 7, pages 1513-1524 1989							
	AU	Dieter LENOIR, "The Application of Low-Valent Titanium Reagents in Organic Synthesis", REVIEWS, pages 883-897 1989							
	AV					<input type="checkbox"/> Additional References sheet(s) attached			
Examiner					Date Considered				
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.									



U.S. PCT Application Serial No.: 10/553,034  
Docket No.: 278873US0PCT

#### STATEMENT OF RELEVANCY

- 1) References \_\_\_\_ have been cited in the International Search Report. A copy of the references are submitted herewith.
  
- 2) Reference \_\_\_\_ AO \_\_\_\_ has been cited in the corresponding Japanese Office Action . A copy of these references is being submitted herewith.
  
- 3) References AP-AQ, & AS are discussed in the specification. A copy of these references is being submitted here with.
  
- 4) References AT-AU are additional prior art known to Applicant. A copy of these references is being submitted herewith.